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| SERIAL NUMBER | FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. |
|---------------|--------------------------|-------|----------------|------------------------|
| 09/716,189 | 11/17/2000 RULE | 705 | 3696 | DT-3788 |

APPLICANTS
 James MacPherson, COS COB, CT;

**** CONTINUING DATA *******
 This appln claims benefit of 60/166,558 11/19/1999

**** FOREIGN APPLICATIONS *******
 1/26/09 CGRAHAM

**** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ****
 02/06/2001

| | | | | | |
|--|---|-----------------------------------|----------------------------------|-------------------------------|------------------------------------|
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | <input type="checkbox"/> Met after Allowance cg | STATE OR COUNTRY CT | SHEETS DRAWINGS 13 | TOTAL CLAIMS 45 | INDEPENDENT CLAIMS 3 |
| 35 USC 119(a-d) conditions met Verified and /CLEMENT B GRAHAM/ Acknowledged <u>Examiner's Signature</u> | | | | | |

ADDRESS
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TITLE
 System and methods for trading mutual funds

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